

# Gage-Wiley & Co., Inc.

## FINRA Broker Check

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In accordance with the Financial Industry Regulatory Authority conduct rule pertaining to investor education and protection, we are providing our clients with the following information:

- The FINRA Broker Check Hotline telephone number is 800-289-9999.
- The FINRA website address is [www.finra.org](http://www.finra.org)

For a copy of a brochure that includes important information concerning FINRA Broker Check, call the FINRA Broker Check Hotline or visit the FINRA website at [www.finra.org](http://www.finra.org)